

GMT EQUITIES

It's time for a different conversation.



Unique and Deep Liquidity as a result of BNY's Institutional and Retail reach



Integrated solutions seamlessly connected to your Clearing and Custody accounts



Global Footprint operating 24/6 in over 60 markets



Transparent Execution, Proprietary Pre and Post Trade Analytics, Third-party TCA



Rated as a Global Systemically Important Bank (G-SIB), 1 of 8 in the US

3%

of exchange reported equities volumes*

1.3%

of the US listed options market (CBOE volume)

\$3T

annual volume trades globally **40B**

annual shares traded globally

EQ INSIGHTS

Unique access to unrivalled global securities execution flows, providing a valuable window into trends that are shaping the market: iFlow • Macro commentary • Investor Trends

ETF LIQUIDITY

Top 10 Bloomberg reported volume in 115 US listed ETFs

GLOBAL ALGO SUITE

- 45+ lit / dark liquidity pool venues globally
- 18+ Algo strategies available for US and EMEA clients
- 20+ OMSs with certified algo connectivity
- Customizable Algo strategies

ETF AUTHORIZED PARTICIPANT

AP with 44 families of ETFs supporting access to over 6k+ ETFs Globally

WAYS TO EXECUTE WITH US:

- Voice trading
- Flectronic trading

Open architecture inclusive of FIX, NEXEN Markets, NetX360, Neovest, 3rd party OMS, Rest API, Bloomberg RFQ etc.

THE ENTERPRISE POWER BEHIND GLOBAL MARKETS TRADING



TREASURY SERVICES

Awarded Best Bank for Payments and Collections by Global Finance '23



PERSHING

Industry's largest provider of clearing and settlement solutions



CORPORATE Trust

#1 Global Corporate Trust provider by deal count and volume



CLEARANCE AND COLLATERAL MANAGEMENT

Clear and settle equity and fixed-income transactions in 35 countries



ASSET SERVICING

\$48.8T in Assets Under Custody

Contact us

It's time for a different conversation. To find out how we can address your trading needs, please reach out to our FIEQ Platform Sales Team: FIEQPlatformSales@bny.com

Services provided in the United States by BNY Mellon Capital Markets, LLC member of FINRA, SIPC and a wholly owned subsidiary of The Bank of New York Mellon Corporation ("BNY Mellon").

 $Services\ provided\ in\ the\ United\ Kingdom\ by\ the\ Bank\ of\ New\ York\ Mellon, London\ Branch, a\ wholly\ owned\ subsidiary\ of\ BNY\ Mellon.$

*Estimated 2024 market share across EQ Markets Execution Services compared to total EQ US market.

BNY Mellon is the corporate brand of The Bank of New York Mellon Corporation and may be used as a generic term to reference the corporation as a whole and/or its various group entities. This material and any products and services may be issued or provided under various brand names of BNY Mellon in various countries by duly authorized and regulated subsidiaries, affiliates, and joint ventures of BNY Mellon, which may include any of those listed below:

The Bank of New York Mellon, a banking corporation organized pursuant to the laws of the State of New York Mellon, a banking corporation organized pursuant to the laws of the State of New York Mellon, is supervised and regulated by the New York State Department of Financial Services and the USF federal Reserve and is authorized by the Prudential Regulation Authority ("PRA") (Firm Reference Number: 122467).

The Bank of New York Mellon operates in the UK through its London branch (UK companies house numbers FC006522 and BR000818) at 160 Queen Victoria Street, London, EC4V 4LA and is subject to regulation by the Financial Conduct Authority ("FC4") at 12 Endeavour Square, London, EC20 Inly and limited regulation by the PRA at Bank of Financial Scondenceded St. London, EC4R BAH. Details about the extent of our regulation by the PRA are available from us on request.

The Bank of New York Mellon operates in Germany through its Frankfurt am Main branch (registered in Germany with Registration No. HRB 12731) at Friedrich-Ebent-Anlage 49, 60327 Frankfurt am Main, Germany, It is under the supervision of the German Central Bank and the Federal Financial Supervisory Authority (Bundesanstalt für Finanzdienstleistungsaufsicht, Marie-Curie-Str.24-28,0439 Frankfurt, Germany) under registration number 10100253.

The Bank of New York Mellon SA/NV, a Belgian limited liability company, registered in the RPM Brussels with company number 0806.743.159, whose registered in the RPM Brussels with company number 0806.743.159, whose registered in the RPM Brussels with company number 0806.743

Services and Markets Authority ("FSMA") at Rue du Congrés/Congresstraat 12-14, 1000 Brussels, Belgium for conduct of business rules, and is a subsidiary of The Bank of New York Mellon SA/NV operates in Ireland through its Dublin branch at Riverside II, Sir John Rogerson's Quay Grand Canal Dock, Dublin 2, D02KV80, Ireland and is registered with the Companies Registration Office in Ireland No. 907126 & with VAT No. IE 9578054. E The Bank of New York Mellon SA/NV, Dublin Ranch is subject to limited additional regulation by the Central Bank of Ireland No. 907126 & with VAT No. IE 9578054. E The Bank of New York Mellon SA/NV, Dublin Ranch is subject to limited additional regulation by the Central Bank of Ireland No. 907126 & with VAT No. IE 9578054. E The Dank of New York Mellon SA/NV, Asset Servicing, Niederlassung Frankfurt branch "The Bank of New York Mellon SA/NV, Asset Servicing, Niederlassung Frankfurt am Main", and has its registered office at Messelfurn, Friedrich-Ebert-Anlage 49, 60327 Frankfurt am Main, Germany. It is subject to limited additional supervision by the Federal Financial Supervisory Authority (Bundesanstalt für Finanzdienstleistungsautischt, Marie-Curler-Stz. 42-26, 80439 Frankfurt, Germany) under registration number 122721.

The Bank of New York Mellon SA/NV operates in the Netherlands through its Amsterdam branch at Claude Debussylaan 7, 1082 MC Amsterdam, the Netherlands. The Bank of New York Mellon SA/NV, Masterdam Branch is subject to limited additional supervision by the Dutch Central Bank ("De Neterlandsche Bank" or "DNB") on integrity issues only (registration number 9436596). DNB holds office at Westeinde 1, 1017 ZN Amsterdam, the Netherlands.

The Bank of New York Mellon SA/NV operates in Luxembourg through its Luxembourg Theranch 24 Cart use Lugen Regulation by the Commission de Surveillance du Secteur Financier at 283, route d'Arlon, L-1150 Luxembourg for conduct of business rules, and in its role as UCITS/ Alf Eepositary and central administration agent.

regulation by the Commission de Surveillance du Secteur Financier at 283, route d'Arlon, L-1150 Luxembourg for conduct of business rules, and in its role as UCITS? AIF depositary and central administration agent.

The Bank of New York Mellon SA/NV operates in France through its Paris branch at 7 Rue Scribe, Paris, Paris 75009, France. The Bank of New York Mellon SA/NV, Paris Branch is subject to limited additional regulation by Secrétariat General de l'Autorité de Contrôle Prudentiel at Première Direction du Contrôle de Banques (DCB 1), Service 2,61, Rue Taitbout, 75436 Paris Cedex 09, France (registration number (SIREN) Nr. 538 228 420 RCS Paris - CIB 13733).

The Bank of New York Mellon SA/NV operates in Italy through its Milan branch at Via Mike Bongiorno no. 13, Diamantino building, 5th floor, Milan, 20124, Italy. The Bank of New York Mellon SA/NV, Milan Branch is subject to limited additional regulation by Banca d'Italia - Sede di Milano at Divisione Supervisione Banche, Via Cordusion no. 5, 20123 Milano, Italy (registration number 03351).

The Bank of New York Mellon SA/NV operates in Denmark as The Bank of New York Mellon SA/NV, Capenhagen Branch, filial af The Bank of New York Mellon SA/NV, Capenhagen Branch, filial af The Bank of New York Mellon SA/NV, Capenhagen Branch, filial af The Bank of New York Mellon SA/NV, Paris The Bank of New York Mellon SA/NV, Par

York Mellon, Sucursal en Espana is registered with banco de asparia to govern number 1537.

Regulatory information in relation to the above BNY Mellon entities operating out of Europe can be accessed at the following website: https://www.hnymellon.com/RID. UK Financial Promotion Disclosure: This communication is being directed at, persons to whom it may lawfully be issued or directed at under the United Kingdom (*UK*) Financial Services and Markets Act 2000 (Financial Promotion) Order 2005 (the "FPO") including persons who are authorised (*authorised persons") under the UK Financial Services and Markets Act 2000 Act (the "Act") and certain persons having professional experience in matters relating to investments. The products/services referenced in this communication are only available to such persons in the UK and this communication must not be relied or acted upon by any other persons in the UK. Please note that the individual with whom you are communicating, has the authority to issue communications in relation to more than one entity within The Bank of New York Mellon Corporation group of entities. The communication is not covered by UK regulatory regime protections.

regulatory regime protections. FOR CLIENTS LOCATED IN SWITZERLAND

FOR CLIENTS LOCATED IN SWITZERLAND
The information provided herein does not constitute an offer of financial instrument or an offer to provide financial service in Switzerland pursuant to or within the meaning of the Swiss Financial Services Act ("FinSA") and its implementing ordinance. This is solely an advertisement pursuant to or within the meaning of FinSA and its implementing ordinance. This contains an advertisement pursuant to or within the meaning of FinSA and its implementing ordinance. Plass be informed that The Bank of New York Mellon SA/NV enter into OTC derivative transactions as a counterparty, i.e. acting for their own account or for the account of one of their affiliates. As a result, where you enter into any OTC derivative transactions with us, you will not be considered a "client" (within the meaning of the FinSA) and you will not benefit from the protections otherwise afforded to clients under FinSA. Accordingly, this should not be considered an advertisement with respect to such transactions pursuant to or within the meaning of FinSA and its implementing ordinance.

transactions pursuant to or within the meaning of FinSA and its implementing ordinance.

The Bank of New York Mellon, Singapore Branch, is subject to regulation by the Monetary Authority of Singapore. The Bank of New York Mellon, Hong Kong Branch (a branch of a banking corporation organized and existing under the laws of the State of New York with limited liability), is subject to regulation by the Hong Kong Monetary Authority and the Securities & Futures Commission of Hong Kong.

For recipients of this information located in Singapore. This material has not been reviewed by the Monetary Authority of Singapore.

The Bank of New York Mellon is regulated by the Australian Prudential Regulation Authority and also holds an Australian Financial Services Licence No. 527917 issued by the Australian Securities and Investments Commission to provide financial services to wholesale clients in Australia.

The Bank of New York Mellon has various other subsidiaries, affiliates, branches and representative office in the Asia-Pacific Region which are subject to regulation by the relevant local regulator in that jurisdiction.

The Bank of New York Mellon, Tokyo Branch, is a licensed foreign bank branch in Japan and regulated by the Financial Services Agency of Japan. The Bank of New York Mellon, Tokyo Branch, is a Property of Japan.

islability for any errors in or reliance upon this material.

This material may not be distributed or used for the purpose of providing any referenced products or services or making any offers or solicitations in your products or services or making any offers or solicitations are unlawful or not authorized, or where there would be, by virtue of such distribution, rew or additional registration requirements.

Any references to dollars are to US dollars unless specified otherwise.

This material may not be reproduced or disseminated in any form without the prior written permission of BNY Mellon. Trademarks, logos and other intellectual property marks belong to their respective owners.

Services provided in the United States by BNY Mellon Capital Markets, LLC member of Pikew York Mellon. A part of the William of Pikew York Mellon and the United States by BNY Mellon Capital Markets, LLC member of Pikew York Mellon as banking corporation or substitution of Pikew York Mellon. But Mellon Capital Markets, LLC member of Pikew York Mellon as banking corporation or substitution of Pikew York Mellon as banking corporation or substitution. York Mellon as of the State of New York Mellon as banking corporation or substitution. York Mellon as of the State of New York Mellon as banking corporation or substitution. York Mellon as of the State of New York Mellon is supervised and regulated by the New York State Department of Financial Services and the US Federal Reserve and is authorized by the PRA. The Bank of New York Mellon operates in the UK through its London branch, UK Companies House numbers FC005522 and BR000813 at 180 Queen Victoria Street, London, ECV4 V4. And is subject to regulation by the PCA at 12 Endeavour Square, London, ECV4 V4. And is subject to regulation by the PCA at 12 Endeavour Square, London, ECV4 V4. And is subject to regulation by the PCA at 12 Endeavour Square, London, ECV4 V4. And is subject to regulation by the PCA at 12 Endeavour Square, London, ECV4 V4. And is subject to regulation by the PCA at 12

Please note that the individual with whom you are communicating, has the authority to issue communicating in the three individual with whom you are communicating, has the authority to issue communications in relation to more than one entity within The Bank of New York Mellon Corporation group of entities, and may not be employed by BNY Mellon Capital Markets, LLC. The communication is not covered by UK regulatory regime protections. This communication is exempt from the general restriction in Section 21 of the Act on the communication of invitations or indicucements to engage in investment activity on the grounds that it is being issued to and/or directed at only the types of person

referred to above.

The content of this communication has not been approved by an authorised person and such approval is, save where this communication is directed at or issued to the

types of person referred to above, required by Section 21 of the Act.

BNY Mellon Capital Markets, LLC is not providing any facilitation or arranging services to you in connection with products/services referenced in this communication. The services will be contracted with and delivered by BNY Mellon Capital Markets, LLC alone and recipients of the services will be entering into the BNY Mellon Capital Markets, LLC activate terms will be subject to approval by BNY Mellon Capital Markets, LLC. Any contractual terms will be subject to approval by BNY Mellon Capital Markets, LLC. Fixed Income Securities. Fixed income investments, including municipal bonds, are subject to various risks including changes in interest rates, call features, credit quality, possible default, difficulty in market valuations, liquidity, prepayments, early redemption tax ramifications, inflation and other factors.

Floating Rates. Investment in any floating rate instrument presents unique risks, including the discontinuation of the floating rate reference or any successors or fallbacks thereto. Capital Markets does not guarantee and is not responsible for the availability or continued existence of a floating rate reference associated with any particular instrument. Before investing in any floating rate instrument, please evaluate the risks independently with your financial, tax and other advisors as you deem necessary.

Treasury and Government Agency Obligations. Not all obligations of the U.S. government or its agencies and instrumentalities are backed by the full faith and orredit of the U.S. Treasury. In addition, if not held to maturity, prices will rise and fall on interest rate movements in response to economic conditions.

Corporate Debt. Corporate Debt. Corporate Debt. Corporate Debt. Corporate Debt. Corporate Debt. Corporate for market risk.

government or its agencies and instrumentalities are backed by the full faith and credit of the U.S. Treasury, in addition, if not held to maturity, prices will rise and fall on interest rate movements in response to economic conditions.

Or interest rate movements in response to economic conditions.

Or interest rate movements in response to economic conditions.

Or interest rate movements in response to economic conditions.

Or interest rate movements in response to economic conditions.

Commercial Paper. Commercial paper, an unsecured, short-term debt instrument, is not usually backed by any form of collateral, and although there may be back up lines of credit or back up liquidity, may still be subject to default by the issuer.

Certificates of Deposit. Certificates of Deposit are most suitable for holding until maturity. Early withdrawal of any CD may not be available and may be subject to applicable penalties.

CDs: Limits on FDIC insurance. If you have or will have money on deposit (such as a savings account) at the same insured institution that issues the CDs, the total value of your deposit accounts could exceed the amount of FDIC insurance to which your deposits (including CDs) are entitled.

Yankee CDs:NDs FDIC Insurance. Yankee CDs are not FDIC insurance to which your deposits (including CDs) are entitled.

Yankee CDs:NDs FDIC Insurance. Yankee CDs are not FDIC insurance to which your deposits (including CDs) are entitled.

Yankee CDs:NDs FDIC Insurance. Yankee CDs are not FDIC insurance to which your deposits (including CDs) are entitled.

Yankee CDs:NDs FDIC Insurance. Yankee CDs are not FDIC insurance to which your deposits (including CDs) are entitled.

Yankee CDs:NDs FDIC Insurance. Yankee CDs are not FDIC insurance to which your deposits (including CDs) are entitled.

Yankee CDs:NDs FDIC Insurance. Yankee CDs are not FDIC insurance to which your deposits (including CDs) are entitled.

Yankee CDs:NDs FDIC Insurance. Yankee CDs are not FDIC insurance to which your deposits (including CDs) are the

information provided by Capital Markets does not include the legal, tax or accounting effects of consummating any transaction.

No Tax, Legal or Accounting Advice. Capital Markets does not provide tax, legal, or accounting advice. You should independently and carefully consider whether any information or investment instruments are suitable for your particular investment objectives and financial position and, if you believe it appropriate, seek professional advice, including tax, legal and accounting advice.

Past performance is not indicative of nor a guarantee of future performance and a loss of original capital may occur. You should not enter into any transactions unless you have fully understood all risks, that not all investments will be suitable, and you have independently determined that such transactions are appropriate for you. Investing in securities involves risk, including loss of the principal amount invested. Additional information is provided on PINRA's Web site at https://www.fina.org/investors/learnto-invest/key-investing-concepts/evaluating-investment-performance.

Mortgage Backed Securities (MBS). Potential issuers of securities should discuss any financial instrument offering, engagement, or relationship with their own counsel and

Mortgage Backed Securities (MBS). Potential issuers of securities should discuss any financial instrument offering, engagement, or relationship with their own counsel and financial advisors. Beginning in the second half of 2007, difficulties in the mortgage and broader credit markets led to a substantial decrease in the availability of credit. As a result, U.S., European and global credit and financial markets in general have been, and are, experiencing difficulties. The extent and duration of any future continued weakening of these markets, higher costs of borrowing, and disruptions in debt and equity markets may potentially make it more difficult to issue a financial instrument, exit an investment or define the impact, if any, on the performance and prospects of particular issuers or securities. There can be no assurance that any of the governmental or private sector initiatives designed to strengthen the condition of the credit markets will be successful, and there is no way to know the effect that these initiatives will have on the performance of any particular securities or issuers. Only GNMA is backed by the full faith and credit of the U.S. Government. Securities issued by Fannie Mae. Freddie Mac and MBS in general have recently experienced volatility and other increased risks due to homeowner defaults and severe problems in the housing market.

volatility and other increased risks due to homeowner defaults and severe problems in the housing market.
Structured products are not suitable for all investors. Structured Products are subject to special risks and considerations. All relevant offering documents including, but not limited to, term sheets and prospectuses should be consulted and understood prior to investing. Investors should understand characteristics, risks, and potential rewards. Investors should contact their own accounting, tax or legal advisors to review the suitability of any investment. Securities Direct*, Securities Direct*

Securities Direct*, Securities Direct* is the registered trademark of The Bank of New York Mellon Corporation.

Not all products and services are offered in all countries. The Bank of New York Mellon, member of the Federal Deposit Insurance Corporation ("FDIC").

Securities Products: Not FDIC-Insured – Subject to Loss in Value – Not a Deposit of or Guaranteed by a Bank or any Bank Affiliate.

©2024 BNY Mellon Capital Markets, LLC. All rights reserved. Trademarks, service marks and logos are the property of their respective owners.

©2024 The Bank of New York Mellon Corporation. All rights reserved.